**INFORMATION DISCLOSURE STATEMENT**

Applicant : Lashinski et al.
App. No. : 10/634,643
Filed : August 5, 2003
For : TRANSLUMINAL MITRAL
ANNULOPLASTY WITH ACTIVE
ANCHORING
Examiner : Unknown
Group Art Unit : 3738

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Enclosed is form PTO-1449 listing 117 references that are of record in U.S. patent application No. 09/968,272, filed October 1, 2001, which is the parent of this continuation application, and is relied upon for an earlier filing date under 35 U.S.C. § 120. Copies of the references are not submitted pursuant to 37 C.F.R. § 1.98(d).

This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3). If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

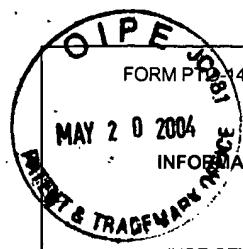
Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 5/17/04

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FORM PT2-1449 MAY 20 2004 INFORMATION DISCLOSURE STATEMENT BY APPLICANT (USE SEVERAL SHEETS IF NECESSARY)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. MITRAL.1CP3C1	APPLICATION NO. 10/634,643
APPLICANT Lashinski et al.			
FILING DATE August 5, 2003		GROUP 3738	

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
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EXAMINER	DATE CONSIDERED
*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.	

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88		WO 96/34211	10/1996	PCT				
89		WO 98/29041	07/1998	PCT				
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105	Alonso-Lej, <i>Adjustable Annuloplasty for Tricuspid Insufficiency</i> , September 1988, <i>The Annals of Thoracic Surgery</i> , Letter to the Editor, pg. 368-369
106	Chachques et al., <i>Latissimus Dorsi Dynamic Cardiomyoplasty</i> , 1989, <i>The Society of Thoracic Surgeons</i> , pg. 600-604
107	McCarthy et al., <i>Clinical experience with the Novacor ventricular assist system</i> , May 1990, <i>J Thorac Cardiovasc Surg</i> , pg. 578-587
108	Farrar et al., <i>A New Skeletal Muscle Linear-pull Energy Convertor as a Power Source for Prosthetic Circulatory Support Devices</i> , September 1992, <i>The Journal of Heart and Lung Transplantation</i> , pg. S341-S349
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115	Yamani et al., <i>Surgical Treatment of Chronic Heart Failure</i> , 2000, <i>Congestive Heart Failure Second Edition</i> , pg 767-784
116	Bristow et al., <i>Heart Failure Management Using Implantable Devices for Ventricular Resynchronization: Comparison of Medical Therapy, Pacing, and Defibrillation in Chronic Heart Failure (COMPANION) Trial</i> , September 2000, <i>Journal of Cardiac Failure</i> , Vol. 6, No. 3, pg 276-285
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